

TRANSLATION**PATENT COOPERATION TREATY****PCT****INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY**

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference NEC-1624PCT		FOR FURTHER ACTION See Form PCT/IPEA/416
International application No. PCT/JP2005/004959	International filing date (day/month/year) 18.03.2005	Priority date (day/month/year) 18.03.2004
International Patent Classification (IPC) or national classification and IPC G06F3/16, G06F12/00, G10L15/00, G10L15/22, G10L15/28, G10L17/00, H04M3/493		
Applicant NEC CORPORATION		

<p>1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>6</u> sheets, including this cover sheet.</p> <p>3. This report is also accompanied by ANNEXES, comprising:</p> <p>a. <input checked="" type="checkbox"/> (sent to the applicant and to the International Bureau) a total of <u>10</u> sheets, as follows:</p> <p><input checked="" type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).</p> <p><input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.</p> <p>b. <input type="checkbox"/> (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) _____, containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).</p>																									
<p>4. This report contains indications relating to the following items:</p> <table><tr><td><input checked="" type="checkbox"/></td><td>Box No. I</td><td>Basis of the report</td></tr><tr><td><input type="checkbox"/></td><td>Box No. II</td><td>Priority</td></tr><tr><td><input type="checkbox"/></td><td>Box No. III</td><td>Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</td></tr><tr><td><input type="checkbox"/></td><td>Box No. IV</td><td>Lack of unity of invention</td></tr><tr><td><input checked="" type="checkbox"/></td><td>Box No. V</td><td>Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</td></tr><tr><td><input type="checkbox"/></td><td>Box No. VI</td><td>Certain documents cited</td></tr><tr><td><input checked="" type="checkbox"/></td><td>Box No. VII</td><td>Certain defects in the international application</td></tr><tr><td><input type="checkbox"/></td><td>Box No. VIII</td><td>Certain observations on the international application</td></tr></table>		<input checked="" type="checkbox"/>	Box No. I	Basis of the report	<input type="checkbox"/>	Box No. II	Priority	<input type="checkbox"/>	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	<input type="checkbox"/>	Box No. IV	Lack of unity of invention	<input checked="" type="checkbox"/>	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	<input type="checkbox"/>	Box No. VI	Certain documents cited	<input checked="" type="checkbox"/>	Box No. VII	Certain defects in the international application	<input type="checkbox"/>	Box No. VIII	Certain observations on the international application
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Date of submission of the demand	Date of completion of this report																								
Name and mailing address of the IPEA/JP	Authorized officer																								
Facsimile No.	Telephone No.																								

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Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Claims	<u>7-10, 12, 15-17, 19-22, 25-29, 31-34, 36-39, 42</u>	YES
	Claims	<u>1, 2, 4-6, 11, 13, 14, 18, 23, 24, 30, 35, 40, 43</u>	NO
Inventive step (IS)	Claims	<u>22, 29, 34, 39</u>	YES
	Claims	<u>1, 2, 4-21, 23-28, 30-33, 35-38, 40, 42, 43</u>	NO
Industrial applicability (IA)	Claims	<u>1, 2, 4-40, 42, 43</u>	YES
	Claims		NO

2. Citations and explanations (Rule 70.7)

Document 1: JP 2003-125109 A (Hitachi Software Engineering Co., Ltd.), 25 April 2003, entire text, all drawings (Family: none)

Document 2: JP 2000-040051 A (Toyo Communication Equipment Co., Ltd.), 08 February 2000, entire text, all drawings (Family: none)

Document 3: JP 2002-359688 A (NTT Comware Corp.), 13 December 2002, entire text, all drawings (Family: none)

Claims 1, 2, 4 to 6, 11, 13, 14, 18, 23, 24, 30, 35, 40 and 43

The abovementioned claims lack novelty and do not involve an inventive step in the light of document 1.

Document 1 discloses a system equipped with a terminal device, an information providing device and a voice processing device, wherein the devices share session IDs that function as identification information in order to fully ascertain the relationships between the sets of information that are transmitted and received by said devices; therein, said document also indicates that the session IDs are generated by means of a web server

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Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability;
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(corresponding to the information providing device set forth in the present application) (in particular, refer to paragraphs [0025] to [0026]).

Claims 7 to 10, 19 to 21, 25 to 28, 31 to 33, 36 to 38 and 42

The abovementioned claims do not involve an inventive step in the light of document 1 and document 2.

Server/client systems wherein the identification information for ascertaining the relationships between the sets of information that are transmitted and received is generated via a technique whereby the identification information is generated on the server side and then transmitted to the client are well known, as are server/client systems wherein the identification information is generated via a technique whereby the identification information is generated on the client side (for example, refer to document 2); therefore, a person skilled in the art could determine which technique to employ in such a system, as appropriate. Such being the case, it would have been easy for a person skilled in the art to conceive of configuring the inventions that are set forth in the present application by employing a technique for generating identification on the terminal side in the invention disclosed in document 1.

Claims 12 and 15 to 17

The abovementioned claims do not involve an inventive step in the light of document 1 and document 3.

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The information forwarding technique wherein the information to be forwarded is stored at a location represented by a URL that includes specific identification information and then the aforementioned URL is transmitted to the intended recipient of said information is well known (for example, the feature in question is also disclosed in document 3).

Furthermore, a person skilled in the art could delimit features such as the type of line to be used as the communication line or the type of format to be used when transmitting sounds or identification information, as appropriate.

Claims 22, 29, 34 and 39

The feature wherein the process identification information is generated by means of the voice processing device is not disclosed in any of the abovementioned documents, and would not have been obvious to a person skilled in the art.

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Box No. VII **Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

Claims 7 to 10, 19 to 21 and 25 to 28 indicate that the process identification information is generated by the terminal. However, claim 1, which is cited in claims 7 to 10 and 19 to 21, and claim 23, which is cited in claims 25 to 28, indicate that the process identification information is generated by either the information providing device or the voice processing device; thus, the disclosures of the claims in question are contradictory.